

Department of the Interior
U.S. Fish and Wildlife Service

Expires 7/2007
OMB No. 1018-0022



Federal Fish and Wildlife Permit Application Form
and
State of Kentucky Permit Application Form



Return to:

Wildlife Division
Kentucky Department of Fish & Wildlife Resources
#1 Sportsman's Lane
Frankfort, KY 40601

Type of Activity:
Falconry Permit

Complete section A or B, and sections C and D of this application. See attached Permit Application Instructions.

| A. Complete if applying as an individual | | | | |
|--|---------------------------|--------------------------------|--------------------------------|--------------------------------|
| 1.a. Last name: | | 1.b. First name: | | 1.c. Middle name or initial: |
| 1.e. Doing business as (dba): | | 2.a. Mailing Address (line 1): | | 2.b. Mailing Address (line 2): |
| 2.c. Mailing Address (line 3): | | 3.a. City: | 3.b. County: | 3.c. Province: |
| 3.e. Zip code/postal code : | | 3.f. Country: | 4. Date of birth (mm/dd/yyyy): | |
| 6. Occupation: | | 5. Social Security No: | | |
| 7. List of any business, agency, organizational, or institutional affiliation associated with the wildlife or plants to be covered by this permit: | | | | |
| 8. Home telephone number: | 9. Work telephone number: | 10. Fax number: | 11. E-mail address: | |

| B. Complete if applying as a business, corporation, public agency or institution | | | | |
|--|---------------------------|--------------------------------|---------------------|--------------------------------|
| 1.a. Name of business, agency, or institution: | | 1.b. Doing business as (dba): | | 2. Tax identification no.: |
| 3.a. Mailing address (line 1): | | 3.b. Mailing address (line 2): | | 3.c. Mailing address (line 3): |
| 4.a. City: | 4.b. County | | 4.c. State: | 4.d. Zip code: |
| 5.a. Principal officer - Last name: | | 5.b. First name: | | 5.c. Middle name or initial |
| 5.e. Principal officer title: | | 5.d. Suffix | | |
| 6. Describe the type of business, agency, or institution and provide state of incorporation: | | | | |
| 7. Home telephone number: | 8. Work telephone number: | 9. Fax number: | 10. E-mail address: | |

| C All applicants complete | |
|---|-----------------------|
| 1. Do you currently have or have you had any Federal Fish and Wildlife permits? (For simplification, all licenses, permits, registrations, and certificates will be referred to as a permit.) Yes <input type="checkbox"/> If yes, list the number(s) of the most current permit you have held: No <input type="checkbox"/> | |
| 2. Have you obtained all required State, Federal or foreign government approval(s) to conduct the activity you propose? Yes <input type="checkbox"/> If yes, provide a copy of the approval(s). Have applied <input type="checkbox"/> Not required <input type="checkbox"/> | |
| 3. See Item 1.b. in section D of this application for the appropriate fee schedule. Federal, tribal, state, and local government agencies or individuals and institutions acting on behalf of such agencies are exempt from the application processing fee (50 CFR 13.11(d)). | |
| 4. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13, of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001. | |
| 5. Signature (in blue ink) of applicant/person responsible for permit in Section A or B. (Photocopies/stamped signatures are not accepted.) | 6. Date (mm/dd/yyyy): |

D.**All applicants complete**

Revised 7/11/2006

This section of the permit application fulfills additional requirements of the U.S. Fish & Wildlife Service (USFWS) and the Kentucky Department of Fish & Wildlife Resources (KDFWR). If you are under 18 years of age, your parent or guardian must co-sign this application on Page 1 of the application. Once completed, submit this form and any required supplemental documentation to the address specified on Page 1.

1.a. Type of permit

☐ Apprentice ☐ General ☐ Master

1.b. Type of application. Include payment(s) to the agencies indicated in parentheses. Payment structure is outlined below.

☐ New (USFWS/KDFWR) ☐ Upgrade (see below) ☐ Renewal (USFWS/KDFWR)
☐ Resident facility relocation (no fee) ☐ Non-resident relocating to Kentucky (KDFWR)

Enclose check(s) or money order(s), if applicable, payable to the USFWS in the amount of \$100.00 and to the KDFWR in the amount of \$75.00.

No fee is required for a permit upgrade if your current permit has yet to expire. Correct payment must accompany this application. Receipt and cashing of payment **DOES NOT IMPLY** approval of permit request.

2. For apprentice application, please provide your sponsor's:

Name:

Permit #:

NOTE: You must also enclose a letter from your sponsor indicating his or her willingness to sponsor you. This letter must include your sponsor's name, address, telephone number, and Federal falconry permit number.

3. General and master falconers, do you authorize KDFWR to disclose your name, phone number, county of residence, and falconry class to new applicants seeking an apprentice sponsor?

☐ Yes ☐ No

4. Provide the address where your raptors will be housed.

☐ Same as residence

5. You must retain records relating to the activities conducted under your permit for at least five (5) years from the date of expiration of the permit.

Provide the address where these records will be kept.

☐ Same as residence

6. Enclose a copy of your Kentucky raptor facilities and equipment inspection report, signed by the KDFWR Law Enforcement Officer, in fulfillment of and agreement with the regulations contained in Title 50, Parts 13.47 and 21.29, of the Code of Federal Regulations.**7. Signature (in ink) of the appropriate KDFWR Law Enforcement Officer. (Photocopies/stamped signatures are not accepted.)****Office Use Only**

Reviewed by:

Date: _____

PERMIT APPLICATION FORM INSTRUCTIONS

The following instructions pertain to the standard Permit Form 3-200 that must be completed as an application for a USFWS/KDFWR permit. The General Permit Procedures in 50 CFR 13 address the permitting process. For simplification, all licenses, permits, registrations, and certificates will be referred to as a permit.

- Complete section A or B, and sections C and D of the application. Print clearly or type in the information. An incomplete or unclear application may cause delays in processing or may be returned to the applicant.
- Provide any required additional information or attachments outlined in section D of the application form. Be as complete and descriptive as possible. If there is any doubt as to the information's relevance, include it with the application.
- Sign the application **in blue ink** and send the original to the address at the top of the application. Faxes or copies of the original signature will not be accepted.
- Please plan ahead. Allow at least 60 days for your application to be processed (50 CFR 13.11). Some applications may take longer than 90 days to process. Applications are processed in the order they are received.
- Additional forms and instructions, as well as regulations, are available on the Internet at <http://fw.ky.gov/>.

Most of the application form is self-explanatory, but the following provides some assistance for completing the form.

COMPLETE EITHER SECTION A OR SECTION B:

- Section A. **"Complete if applying as an individual"** - Enter the complete name of the responsible individual who will be the permittee if a permit is issued. Enter personal information that identifies the applicant. All blocks must be completed. If you are applying as an individual but conducting permitted activities as a business, provide the name of the business. If you are applying on behalf of a client, the personal information must pertain to the client. A document evidencing power of attorney must be included with the application.
- Section B. **"Complete if applying as a business, corporation, public agency, or institution"** - Enter the complete name and address of the business, agency, institution, or other organization that will be the permittee if a permit is issued. Give a brief description of the type of business or activity the applicant is engaged in, the name and phone number of the person in charge (i.e., principal officer), and if the company is incorporated, the state in which it is incorporated.

ALL APPLICANTS COMPLETE SECTION C:

- Block C.1 **"Do you currently have or have you had any federal fish and wildlife permits?"** List the number(s) of your most current FWS or CITES permit or the number of the most recent permit if none are currently valid. (Do not list permits of others under which you acted as a subpermittee or agent.)
- Block C.2 **"Have you obtained all required state, federal or foreign government approval to conduct the activity you propose?"** (Please be aware that there may be other requirements necessary to conduct this activity, such as local zoning requirements.) If "yes," list the state, federal or foreign countries involved and type of document required. Include a copy of these documents with the application. If you have applied for the documents, check the "have applied" box and list the state, federal or foreign countries involved and type of documents required. If the proposed activity is not regulated check "not required."
- Block C.3 **"Application fee:"** You must enclose a permit processing fee unless you are fee exempt. Block D.1.b outlines the appropriate fees. Consult the Application Fee section on the next page. Attach a check(s) or money order(s) for the appropriate amount to the application form. If you are fee exempt, write "EXEMPT" in the fee block and attach proof of exempt status with your application.
- Block C.4 **"CERTIFICATION"** The individual identified in Section A, the principal officer named in Section B, or person with a valid power of attorney (documentation must be included in the application) must sign and date the application **in blue ink**. This signature binds the applicant to the statement of certification. This means that you certify that you have read and understand the regulations that apply to the permit. You also certify that everything included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application before signing.

ALL APPLICANTS COMPLETE SECTION D:

Provide any required additional information outlined in Section D of the application form. Be as complete and descriptive as possible. If there is any doubt as to the information's relevance, include it with the application.

APPLICATION FOR A FEDERAL FISH AND WILDLIFE PERMIT

Paperwork Reduction Act and the Privacy Act – Notices

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, et seq.) and the Privacy Act of 1974 (5 U.S.C. 552a), please be advised that:

1. The gathering of information on fish and wildlife is authorized by:
 - (a) Bald and Golden Eagle Protection Act (16 U.S.C. 668), Title 50, Part 22, of the Code of Federal Regulations (CFR);
 - (b) Endangered Species Act of 1973 (16 U.S.C. 1531-1544), Title 50, CFR Part 17;
 - (c) Migratory Bird Treaty Act (16 U.S.C. 703-712), Title 50, CFR Part 21;
 - (d) Marine Mammal Protection Act of 1972 (16 U.S.C. 1361 et seq.), Title 50 CFR Part 18;
 - (e) Wild Bird Conservation Act (16 U.S.C. 4901-4916), Title 50, CFR Part 15;
 - (f) Lacey Act (18 U.S.C. 42); Injurious Wildlife, Title 50 CFR Part 16;
 - (g) Convention on International Trade in Endangered Species of Wild Flora and Fauna (CITES) (TIAS 8249); Title 50, CFR Part 23;
 - (h) General Provisions, Title 50 CFR Part 10;
 - (i) General Permit Procedures, Title 50 CFR Part 13; and
 - (j) Wildlife (Import/export/transport), Title 50 CFR Part 14.
2. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the above laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. Response is not required unless a currently valid Office of Management and Budget (OMB) control number is displayed on the form.
3. Certain applications for permits authorized under the Endangered Species Act of 1973 (16 U.S.C. 1539) and the Marine Mammal Protection Act of 1972 (16 U.S.C. 1371-1383) will be published in the Federal Register as required by the two laws.
4. Disclosures outside the Department of the Interior may be made without the consent of an individual if the disclosure is compatible with the purposes for which the record was collected. (Ref. 68 FR 52611, September 4, 2003)
 - (a) To subject matter experts, state, federal, local, and foreign agencies for the purpose of obtaining advice relevant to making a decision on an application for a permit or when necessary to accomplish a FWS function related to this system of records.
 - (b) To the public as a result of publishing Federal Register notices announcing the receipt of permit applications for public comment or notice of the decision on a permit application.
 - (c) To federal, state, local, or foreign wildlife and plant agencies for the exchange of information on permits granted or denied to assure compliance with all applicable permitting requirements.
 - (d) Captive-bred Wildlife registrants under the Endangered Species Act for the exchange of authorized species, and to share information on the captive breeding of these species.
 - (e) To federal, state, and local authorities who need to know who is permitted to receive and rehabilitate sick, orphaned, and injured birds under the Migratory Bird Treaty Act and the Bald and Golden Eagle Protection Act; federally permitted rehabilitators; individuals seeking a permitted rehabilitator with whom to place a bird in need of care; and licensed veterinarians who receive, treat, or diagnose sick, orphaned, and injured birds.
 - (f) To the Department of Justice, or a court, adjudicative, or other administrative body or to a party in litigation before a court or adjudicative or administrative body, under certain circumstances.
 - (g) To the appropriate federal, state, tribal, local, or foreign governmental agency responsible for investigating, prosecuting, enforcing, or implementing statutes, rules, or licenses, when we become aware of a violation or potential violation of such statutes, rules, or licenses, or when we need to monitor activities associated with a permit or regulated use.
 - (h) To a congressional office in response to an inquiry to the office by the individual to whom the record pertains.
 - (i) To the General Accounting Office or Congress when the information is required for the evaluation of the permit programs.
 - (j) To provide addresses obtained from the Internal Revenue Service to debt collection agencies for purposes of locating a debtor to collect or compromise a federal claim against the debtor or to consumer reporting agencies to prepare a commercial credit report for use by the FWS.
5. For individuals, personal information such as home address and telephone number, financial data, and personal identifiers (social security number, birth date, etc.) will be removed prior to any release of the application.
6. The public reporting burden on the applicant for information collection varies depending on the activity for which a permit is requested. The relevant burden for a migratory bird falconry application is 1 hour, and 15 minutes for a report. This burden estimate includes time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. You may direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, U.S. Fish and Wildlife Service, Mail Stop 222, Arlington Square, U.S. Department of the Interior, 1849 C Street, NW, Washington D.C. 20240.

Freedom of Information Act – Notice

For organizations, businesses, or individuals operating as a business (i.e., permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under FOIA. Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 CFR 2.13(c)(4), 43 CFR 2.15(d)(1)(i)].

Application Processing Fee

The fees to process a migratory bird falconry permit application are \$100.00 payable to the USFWS and \$75.00 payable to the KDFWR. These fees apply to new permit applications and permit renewals. There is no fee for amendment of this permit. For falconers moving into the state of Kentucky, the state processing fee of \$75.00 is required, but the federal fee does not apply. These fees do not guarantee the issuance of a permit. They will not be refunded regardless of whether a permit is issued, abandoned, or denied. Application fees will not be refunded under any circumstances if the application has been processed. However, the application fees may be refunded if you withdraw the application before it has been significantly processed. (50 CFR 13.11(d))

The application fee does not apply to any federal, tribal, state, or government agency or to any individual or institution acting on behalf of such agency for the proposed activities.